



JOCKEY CLUB ROMÂN
Societatea de Încurajare pentru Îmbunătățirea
Rasei Cailor în România

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***CONDITIONS OF ENTRY INTO THE THOROUGHBRED
ROMANIAN STUD BOOK***

GENERAL REGULATIONS

1. Encouragement Society to Improve Horse Breeding in România – JOCKEY CLUB, is the Stud Book Authority for Thoroughbreds in România, starting with the date, 13.05.2019, conform of the decision A.N.Z. no.1/13.05.2019.

Encouragement Society to Improve Horse Breeding in România – JOCKEY CLUB is authorised to keep the Stus Book, by the National Agency for Animal Science „ Prof. Dr.G.K.Constantinescu ” , which is under the control of the Ministry of Agriculture and Rural Development, conform the Law no.139/2014 and the Gouvernement Decision no.1188 /2014.

2. Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB, maintains the thoroughbred breeding documentation (collating details from both, state and private horses) and prepares and publishes the Romanian Thoroughbred Stud Book.

3. The registration of the horses, preparation and publication of the Stud Book is undertaken in accordance with the rules of the International Stud Book Committee (ISBC).

4. Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB is the only authorized organization in Romania that has the right to issue certificates of origin or other documents identifying Thoroughbred horses.

5. Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB as the Approved Stud Book Authority for Thoroughbreds in România sends delegate to the European and African Stud Book Committee (EASBC) which is the regional body of the ISBC. Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB is a signatory to Articles 3 (B, C and D), 4, 12, 13, 14 & 15 of the International Agreement on Breeding, Racing and Wagering (IABRW), as published by the International Federation of Horseracing Authorities (IFHA).

DEFINITION OF A THOROUGHBRED

A. Thoroughbred is a horse which is recorded in the Thoroughbred Stud Book of the country of its foaling, that Stud Book having been granted Approval status by the International Stud Book Committee (Appendix 8 of IABRW) at the time of its official recording.

1: QUALIFICATION

For a horse to be eligible to be recorded in an approved Thoroughbred Stud Book all of the following requirements must be satisfied:

- 1.1. The horse must be the product of a mating between a sire and a dam, both of which are recorded in an approved Thoroughbred Stud Book or either or both must have been promoted from a Non-Thoroughbred register under the terms set out in Article 13 paragraph 4.1.
- 1.2. Where the dam has been imported into the country where the foal is born, either permanently or for a temporary period not exceeding nine months, an export certificate or BCN (as appropriate) must have been lodged before the foal may be registered.

2: SERVICE TO PRODUCE AN ELIGIBLE FOAL

2.1. The Thoroughbred must be the result of a Stallions mating with a Mare which is the physical mounting of a Mare by a Stallions with intromission of the penis and ejaculation of semen into the reproductive tract. As an aid to the mating and if authorized by the Stud Book Authority of a country certifying the Thoroughbred, a portion of the ejaculate produced by the Stallion during such mating may immediately be placed in the reproductive tract of the mare being bred.

3: GESTATION TO PRODUCE AN ELIGIBLE FOAL

3.1 A natural gestation must take place in, and delivery must be from, the body of the same Mare in which the Foal was conceived. Any Foal resulting from or produced by the processes of Artificial Insemination, Embryo Transfer or Transplant, Cloning or any other form of genetic manipulation not herein specified, shall not be eligible for recording in a Thoroughbred Stud Book approved by the International Stud Book Committee.

4: RECORDING OF THE MATING AND RESULT

4.1 The details of the mating must be recorded by the Stallion owner or authorized agent on an official form or electronic system provided or approved by the Stud Book Authority certifying the Thoroughbred which should include:

- 4.1.1 name of Stallion,
- 4.1.2 name of Mare,
- 4.1.3 the first and last dates of mating to the Stallion and,
- 4.1.4 a statement signed by the Stallion owner or authorized agent that the mating was natural and did not involve the processes of Artificial Insemination, Embryo Transfer or Transplant, Cloning or any other form of genetic manipulation (see 3.1).

4.2 The details of the Foal at the time of foaling must be recorded by the Mare owner or authorized agent on an official form or electronic system provided or approved by the Stud Book Authority certifying the Thoroughbred which should include:

- 4.2.1 name of Stallion,
- 4.2.2 name of the Mare,
- 4.2.3 exact date of foaling,
- 4.2.4 colour of the Foal,
- 4.2.5 gender of the Foal,
- 4.2.6 name of the Breeder of the Foal who is the Owner(s) of the Mare at the time of foaling,
- 4.2.7 country of foaling,
- 4.2.8 a statement signed by the Mare owner or authorized agent that the Foal was not the result of Artificial Insemination, Embryo Transfer or Transplant, Cloning or any other form of genetic manipulation (see 3.1).

4.3 For the avoidance of any doubt, both the details of the mating (as set out in 4.1 above) and the details of the foaling (as set out in 4.2 above) must be provided to the Stud Book Authority in order for the foal to be registered.

5: IDENTIFICATION & DESCRIPTION

5.1. The description of the Foal must be recorded by a person authorized by the Stud Book Authority on an official form or electronic system provided or approved by the Authority certifying the Thoroughbred which should include:

- 5.1.1 name of Stallion,
- 5.1.2 name of the Mare,
- 5.1.3 exact date of foaling,
- 5.1.4 colour of the Foal,
- 5.1.5 gender of the Foal,
- 5.1.6 name of the Breeder (4.2.6)
- 5.1.7 country of foaling,

5.1.8 a detailed description of permanent and acquired identifying markings of the Foal which should include all leg markings, face markings, hair whorls, scars, tattoos, brand and a microchip number, if found, which should be substantiated by either colour photographs or physical inspection.

6: PARENTAGE VERIFICATION

6.1 The Stud Book Authority certifying the Thoroughbred must require further evidence of parentage based upon typing of genetic factors present in blood, hair and /or other biological samples and must certify:

6.1.1 that the genetic typing is only undertaken by a laboratory approved by that Stud Book Authority for that purpose,

6.1.2 that the laboratory is an institutional member of ISAG and participates to the satisfaction of the international Stud Book Committee in comparison tests,

6.1.3 that all genetic typing results and details are maintained in strict confidence and are only disclosed to other Stud Book Authorities granted approved status by the international Stud Book Committee and,

6.1.4 The Stud Book Authority conducts routine genetic typing and parentage verification of all horses applying for recording in their Stud Book.

B. DISQUALIFICATION

Modification of the heritable genome of a prospective or registered Thoroughbred, during its conception, gestation or at any stage thereafter in its existence, will result in that horse forfeiting its status as a Thoroughbred.

MARE/STALLION REGISTRATION

5. The stallion/mare owner is required to make an application for the registration of a stallion/mare for breeding.

6. On application Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB responsible of Stud Book, checks the identity of the mare or stallion.

7. On completion of registration, the Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB responsible of Stud Book, endorses the passport, confirming that the horse is registered for breeding. Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB as part of stallion and mare registration ensures that the DNA profile for the breeding horses are obtained.

8. Before a mare is covered both the stallion's owner and the mare's owner must check that the stallion and the mare are registered for breeding and that the animal's identity is correct.

It must be confirmed that Artificial Insemination, Embryo Transfer or Transplant, cloning or any other form of genetic manipulation were not used during covering.

9. Mares imported from and covered abroad must have a covering certificate, or BCN, as appropriate, issued by the Stud Book Authority of the country where the covering took place and signed by the Stud Manager where the stallion stands.

10. Stallion owners or breeders, as appropriate, must complete and submit on an annual basis the following official documents to the Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB:

- a. – Register for stallions – to include details of all stallions used for coverings and which have foals;
- b. – Register of mares – to include all mares used for breeding and their results;
- c. – Register of coverings – to include details of all coverings which have taken place;
- d. – Register of results – to include all details of all foals (their dam and sire, date of birth, sex, colour, characteristics and a written and graphic description of markings and Name of breeder) and non productive results;
- e. – Covering certificates; to be completed by the end of the covering season;
- f. – Foal marking certificates; to be completed whilst the foal is still under its dam.

11. Stallion owners or breeders, as appropriate must give a monthly statement to the Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB, which includes, among other things:

- covering details;
- breeding results;
- foals list (detailing proposed names).

FOAL REGISTRATION

12. In order to register a foal in the Stud Book, the owner of a mare must submit the relevant covering certificate, foaling declaration and set of markings (narrative and graphic).

13. For a foal to be eligible to be recorded in the Stud Book, it must be the product of a mating between a sire and a dam, both of them registered in an approved Stud Book.

14. It is required as a condition of registration that a foal's parentage be confirmed by blood typing or D.N.A. Blood samples must be taken from foals before weaning in the presence of the Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB (responsible of Stud Book or a inspector which will be designate by written), official veterinary and the owner of horse, who identifies the foal and certifies markings taken. Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB will send the blood samples to Laboratory. The fees for all this will be paid by the owner of horse.

15. Foals born abroad, must be registered by the Stud Book Authority of the country of foaling, but can be shown in the Romanian Stud Book in the Import section as a "colt in (country of foaling)" or "filly in (country of foaling)", provided that the foaling is fully documented by the Stud Book Authority of country of foaling. The suffix showing the country of foaling is used in accordance with Appendix 2 of IABRW (list of international country code suffixes).

16. The deadline for receipt of all requirements for foal registration in the Stud Book and registration of current year coverings is November 1 of the foaling year, if a breeder are not registering in time, considering the reasons for delay, the breeder will be sanctioned, according to the internal legislation in force.

After registration of the foal in the Stud Book, the foal is issued with a passport which confirms the pedigree and shows the identification of the foal.

The breeder must check the accuracy of the data in the passport and confirm that he has done so by endorsing it with his signature and name.

Registration of non-productive results.

- The owner of the mare shall send to the Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB the report on the results of the breeding using the report form, from the preceding covering period.

- The owner of the mare shall fill in the report form as indicated, should the result of the breeding be other than a live-born foal, shall check one of the following options: dead foal, abortion, earlyabortion, barren.

- The owner of the mare shall dispatch the filled report form on the result of the breeding to the Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB.

- For mares that have no live foal, this will be done no later than on August 31 of the current breeding year.

- If any other possibility occurs, the respective event shall be described (the mare died, expelled from the stud, sold e.g.).

MICROCHIPS

17. National Agency for Animal Science „Prof. Dr.G.K.Constantinescu” (ANZ) is responsible for monitoring and their registration in passport. The microchip is placed with the action for blood sampling for parentage tested. The implementation is done by veterinary.

NAMING

18. Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB that National Horse Authority, it's a sole Authority responsibility for official registration and changes of name for Romanian born foals.

The following rules on name availability are in force:

I. The registration of a name for a horse can only be made by or with approval of the competent Authority of its country of birth.

For a horse born abroad, the application to register a name must be made through the relevant authority of the country where the export certificate is lodged.

The change of a name already registered and published can only be requested from the Authority which originally registered that name.

II. An International List of Protected Names is maintained and published by the International Federation according to the Rules adopted by the Executive Council. The List covers the names of certain horses whose fame derives from their accomplishments on the racecourse or at stud. The Rules governing inclusion on this List are set out under Appendix 9.

III. Concerning names registered and not protected, the following criteria are provided as a guideline forming the basis upon which Authorities may establish a suitable period during which registered names will not be reused:

- a) in the case of stallions, 15 years after death or 15 years after the last recorded year in which they covered mares or at 35 years of age (whichever is the soonest).
- b) in the case of broodmares, 10 years after their death or 10 years after the last recorded year in which they were covered or produced a foal, or at 25 years of age.
- c) in the case of all other horses, 5 years after their death, or at 20 years of age (whichever is the soonest).

IV. Names cannot be accepted if they are already registered subject to the conditions on re-use of names set out above, nor if :

- 1.- they appear on the International List of Protected Names,
- 2.- they have more than eighteen characters (18), including signs or spaces,
- 3.- they are the name of a public person, without that person's or their family's permission, or names of commercial significance without the appropriate permission,
- 4.- they are followed by numbers,
- 5.- they are made up entirely of initials or include figures, hyphens, full stops, commas, signs, exclamation marks, inverted commas, forward or back slash, colon and semi-colon.
- 6.- they are suggestive or have a vulgar, obscene or insulting meaning; names considered in poor taste; or names that may be offensive to religious, political or ethnic groups.
7. in pronunciation, they are identical or similar to a protected name or a name registered for a horse whose year of foaling is within ten years of that of the horse in question.
8. they start with a sign other than a letter.
9. they are already registered to a sibling or parent of the horse in question.

STUD BOOK PUBLICATION

19. The Stud Book will be published every 4 years, or when needed, as appropriate and must contain the following sections:

- a. Rules and regulations: to appear at the front of Stud Book.
- b. An index of horses appearing; the index must include all broodmares and named foals, and exported horses.
- c. A main section listing the results of all registered Thoroughbred mares. And must to include the following:
 - For imported mares appearing for the first time, (whose foaling was not recorded in an "Approved" Stud Book) an extended pedigree which traces in the distaff line to a mare registered in an "Approved" Stud Book. The Stud Book may also publish the last volume/page where the mare appeared if she has bred previously, including if she appeared in another Approved Stud Book.
 - For other mares a reference to a previous Volume.
 - Full breeding results.
 - For live foals: date of birth, coat, colour, sex, breeder. Country of foaling reference if Stud Book covers more than one country.
 - For non-productive results; categorizations as follows: barren, slipped, aborted early, dead (at birth), died since birth, covered by Non Thoroughbred horse, not covered.
 - Details of exportations of the mare and her if occurred during the relevant period covered by that publication.
 - Notification (optional) of death of mares and foals which have left stud.

- d. Lists of exported horses during the period covered by the publication, the country of destination for each horse exported must be shown.
- e. Lists of imported horses: sire/dam as minimum pedigree, country of import, year of import, foaling reference.
- f. Lists of names for horses appearing un-named in previous Volumes.
- g. Addenda and Errata.
- i. Summary statistics.
- j. Other sections such as broodmares under their sires are optional.

20. In the period between Stud Books, the following annual supplements are published:

- Mare returns of current year showing: name and Stud Book registration, covering results with name of stallion (foaling and non productive results), date of foaling, sex, colour, name and suffix of country of foaling and name (s) of breeder (s).

IDENTIFICATION OF HORSES

21. Pedigree: The Pedigree certificate is issued by the Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB, upon request of the owner of the horse. The following shall be specified in the pedigree: name of the horse, race, sex, colour, year of birth and complete list of ancestors up to 5-th generation.

22. A passport is issued by National Agency for Animal Science „Prof. Dr.G.K.Constantinescu” (ANZ) conform directives EU and signs the sections that belong to him as Stud Book Authority.

23. Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB, for every Thoroughbred foal born and registered in Romania, prepare, written and graphic, description in accordance with international standards and shows that the horse is parentage tested and microchip.

24. It is a requirement that a passport must accompany the horse during its entire life. It should show the name of the owner of the horse, dates of all vaccinations.

25. Yearlings entering training will be checked against passport before leaving their studs.

26. If a passport is lost, the horse owner must notify National Agency for Animal Science „Prof. Dr.G.K.Constantinescu” (ANZ) who will determine if a duplicate can be issued.

27. If a horse is gelded, the owner should inform the National Agency for Animal Science „Prof. Dr.G.K.Constantinescu” and a note will be made in the passport and Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB.

DOCUMENTS OF EXPORTED HORSES

28. Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB, is the only organization having the right to issue export certificates for horses foaled in Romania and transmit foreign Export Certificates for horses imported into Romania and subsequently exported.

29. The owner of a horse intended for export must notify Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB, about a forthcoming export not later one month prior to the supposed day of departure from Romania. The country of export should be stated at the time.

30. Upon receipt of an application for the issue (or endorsement) of an export certificate, responsible of Stud Book, checks the markings of the horse against its passport and confirms its acceptance with signature and stamp.

31. Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB, sends the export certificate with the blood type or DNA certificate to the Stud Book Authority of the country to which the horse is exported, not later than 2 weeks from the date of receipt of the application.

32. In the case of an export of a mare in-foal, the covering certificate, showing the stallion that covered the mare and date of first and last coverings, will be sent with the export certificate to the relevant Authority of the importing country.

DOCUMENTS OF IMPORTED HORSES

33. Encouragement Society to Improve Horse Breeding in România - JOCKEY CLUB, retains on file the export certificate of all imported horses, issued by the Stud Book Authority of the country of birth of the horse.

34. Mares and stallion imported for covering and exported, after the covering season, must be documented with Breeding Clearance Notification (BCN), sends directly by fax or email to the Stud Book

Authority of the country of final destination, transmitting the DNA certificate for the horse and, for pregnant mares, the DNA certificate(s) of the covering stallion(s). A certified copy of the Clearance should be delivered to the applicant for insertion into the relevant passport.

Before returning, the owner of the horse should apply to the Stud Book Authority of the country of temporary residence for a further Clearance to be supplied to the Stud Book Authority of the country of permanent residence providing the details as stated above;

The clearance is valid for:

- 1) One breeding season (9 months maximum),
- 2) One country of destination.

35. When an imported horse is registered the country code suffix according to the International Agreement is appended to the horse's name.

36. When a horse of whatever age, with exception of foals under their dam, leaves its home country for a period of less than nine month, and the reasons for travel being neither to race, not to breed, Encouragement Society to Improve Horse Breeding in Romania - JOCKEY CLUB, (Stud Book Authority) prior to departure, issue to the applicant a GNM and send to the Stud Book Authority in the country of final destination.

EXPORT HORSES

The Stud book Authority must maintain a record of all exported horses.

I. Permanent export,

37. The owner shall inform in writing about permanent export to the Stud-Book at least 2 week in advance before any horse moves.

38. The Stud Book will, then arrange for the fresh markings to be taken either by a person authorized by Stud Book.

39. The markings this obtained, after verification will be copied on the reverse side of the Export Certificate and then sent to Stud Book Authority of the country where the horse is proceeding.

40. The export certificate will only be issued when the move of the horse is permanent i.e. more than 9 months for breeding stock.

41. The DNA certificate from the sample taken at registration must be appended to the Export Certificate.

II. Temporary Export,

The SBA must to issue the BCN when the exportation is temporary (e.g. for a period of less than nine months) and the horse is travelling for breeding purposes. The format of BCN must comply with the template set out in appendix 1 D of the IABRW.

Where the SBA is the issuing authority for GNMs, the SBA must issue a GNM when the exportation is temporary (e.g. for a period of less than nine months) and the horse is travelling for purposes other than racing or breeding. The format of the GNM must comply with the template set out in Appendix 1 E of the IABRW.

Where a mare has been exported in foal, and the covering details have been lodged with the exporting SBA with an electronic Covering certificate, the exporting SBA will notify the importing SBA of all required information including any declarations pertaining to prohibited breeding practices. This should be accepted by the importing Stud book Authority.

BLOODTYPING / DNA PROFILING

42. The laboratory contracted to the Romanian Stud Book to perform DNA profiling (blood typing) and parentage testing is required to be a member of I.S.A.G., participate in the I.S.B.C / I.S.A.G. comparison tests and meet the I.S.B.C/I.S.A.G. standard rank 1.

43. The laboratory of immunogenetics, as a member of I.S.A.G. must:

- keep the blood type details of all stallions, mares and progeny recorded in the Stud Book;
- perform parentage testing of all foals.

44. Blood type and DNA analysis results remain the property of the Romanian Stud Book Authority and are kept in ***confidence by the Stud Book Authority*** and are not divulged except to another Stud Book Authority.